

02/28/02

1165 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UTILITY PATENT APPLICATION TRANSMITTAL**  
**UNDER 37 CFR 1.53(b)**

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10/090589

02/28/02

<b>Address to:</b>  Director of Patents  Box Patent Application  Washington, DC 20231	<b>Attorney Docket No.</b>	ARC920010115US1
	<b>Inventor(s)</b>	C.T. Rettner et al.
	<b>Express Mail Label No.</b>	ET665586952US
	<b>Total Pages</b>	63

**Title of Application:** OPTICAL APERTURE FOR DATA RECORDING HAVING TRANSMISSION ENHANCED BY WAVEGUIDE MODE RESONANCE

**Transmitted with the patent application are the following:**

<u>2</u>	Page(s)	Transmittal form (and one copy)
<u>39</u>	Page(s)	Specification, claims, abstract
<u>18</u>	Page(s)	Formal drawings
<u>2</u>	Page(s)	Declaration and Power of Attorney (executed)
<u>1</u>	Page(s)	Recordation Form Cover Sheet
<u>1</u>	Page(s)	Assignment of the Invention to International Business Machines Corporation
<u>    </u>	Page(s)	Information Disclosure Statement (IDS) (copies of citations not included in number of pages)

**ASSIGNEE RESIDENCE: ARMONK, NEW YORK**

**Fee Calculation**

	Claims		Extra	Rate	Fees
<b>Basic Fee</b>					\$710.00
<b>Total Claims</b>	48	-20 =	28	× \$18.00	\$504.00
<b>Independent Claims</b>	6	-3 =	3	× \$80.00	\$ 240.00
<b>Multiple Dependent Claim</b>				+ \$270.00	0.00
				<b>Assignment</b>	\$ 40.00
				<b>TOTAL</b>	<b>\$1,494.00</b>

The Commissioner is hereby authorized to credit overpayments or charge fees required under 37 CFR 1.16 or 1.17 to Deposit Account 09-0441.

**EXPRESS MAIL CERTIFICATE**

I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, U.S. Patent and Trademark office, P.O. Box 2327, Arlington, VA 22202

Date of Deposit: February 28, 2002

Person mailing paper/fee: Daniel E. Johnson

Signature

*Daniel E. Johnson*

**Respectfully submitted,**  
 C. T. Rettner et al.

*Daniel E. Johnson*  
 Daniel E. Johnson (#37,033)  
 Agent for the Applicant  
 Telephone (408) 927-3367  
 IBM Corporation Dept. C4TA/J2B  
 650 Harry Road  
 San Jose, CA 95120-6099